

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

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Tony Vanantwerp, *on behalf of himself and others*  
*similarly situated in the proposed FLSA*  
*Collective Action,*

Case No.:

*Plaintiff,*

**Jury Trial Demanded**

*- against -*

**COMPLAINT**

Hornblower Cruises and Events, LLC,

*Defendant.*  
-----X

Plaintiff Tony Vanantwerp (“Plaintiff” or “Vanantwerp”), on behalf of himself and others similarly situated, by and through his attorneys, Levin-Epstein & Associates, P.C., upon personal knowledge as to himself and upon information and belief as to others, brings this complaint against Defendant Hornblower Cruises and Events, LLC (the “Defendant”), and states as follows:

**NATURE OF THE ACTION**

1. Plaintiff brings this lawsuit seeking recovery, for herself and all other similarly situated individuals, against Defendant’s violations of the Fair Labor Standards Act, 29 U.S.C. §§ 201 *et seq.* (“FLSA”), and violations of Articles 6 and 19 of the New York State Labor Law (“NYLL”) and their supporting New York State Department of Labor regulations.

2. Plaintiff seeks injunctive and declaratory relief and to recover unpaid minimum wages, unlawful deductions, liquidated and statutory damages, pre- and post-judgment interest, and attorneys' fees and costs pursuant to the FLSA, NYLL, and the NYLL's Wage Theft Prevention Act ("WTPA").

**JURISDICTION AND VENUE**

3. The Court has subject matter jurisdiction of this case pursuant to 29 U.S.C. § 216

(b), 28 U.S.C. § 1331 and 28 U.S.C. § 1337, and has supplemental jurisdiction over Plaintiff's claims under the NYLL pursuant to 28 U.S.C. § 1367(a).

4. This Court has federal question jurisdiction over Plaintiff's claims pursuant to 28 U.S.C. § 1331 because his claims arise under the FLSA.

5. Venue is proper in the Eastern District of New York under 28 U.S.C. § 1391(b) and (c), because all events relevant to this action occurred in this District, and the acts and omissions giving rise to the claims herein alleged took place in this District.

### **THE PARTIES**

#### **PLAINTIFF TONY VANANTWERP**

6. Plaintiff Vanantwerp was employed as a cook at Defendant's cruise line known as "Hornblower Cruises" from on or around July 15, 2021 to, through and including, June 15, 2022.

7. Plaintiff Vanantwerp was employed as a non-managerial employee at Hornblower Cruises from on or around July 15, 2021 to, through and including, June 15, 2022.

8. At all relevant times, Plaintiff has been an employee within the meaning of Section 3(e) of the FLSA, 29 U.S.C. § 203(e).

#### **DEFENDANT HORNBLOWER CRUISES AND EVENTS, LLC**

9. Upon information and belief, Defendant Hornblower Cruises and Events, LLC is a domestic limited liability company organized and existing under the laws of the State of New York. Upon information and belief, it maintains a principal place of business at 122 East 42<sup>nd</sup> Street, 18<sup>th</sup> Floor New York, NY 10168, and an alternate address at 455 North City Front Plaza Dr., NBC Tower, Suite 2600, Chicago, IL 60611

10. At all times relevant to this Complaint, Defendant Hornblower Cruises and Events, LLC: (i) has had and continues to have employees engaged in commerce or in the production of

goods and services for commerce and handling, selling, or otherwise working on goods or materials that have been moved in or produced for commerce by any person and (ii) has had and continues to have an annual gross volume of sales of not less than \$500,000.00.

11. At all times relevant to this Complaint, Defendant Hornblower Cruises and Events, LLC was and is a covered employer within the meaning of the FLSA, 29 U.S.C. § 203(d) and, at all times relevant to this Complaint, employed employees, including Plaintiff.

12. At all times relevant to this Complaint, Defendant Hornblower Cruises and Events, LLC was and is an employer within the meaning of the 29 U.S.C. 201 *et seq.* and NYLL Section 190(3), and employed employees, including Plaintiff.

13. Defendant Hornblower Cruises and Events, LLC possessed substantial control over Plaintiff's (and other similarly situated employees') working conditions, and over the policies and practices with respect to the employment and compensation of Plaintiff, and all similarly situated individuals, referred to herein.

14. Defendant Hornblower Cruises and Events, LLC had the power to hire and fire Plaintiff, control the terms and conditions of employment, and determine the rate and method of any compensation in exchange for Plaintiff's services.

### **FACTUAL ALLEGATIONS**

15. Plaintiff and other similarly situated individuals are individuals who have worked for Defendant in similarly-titled, hourly paid position, during the statutory period.

16. Plaintiff and other similarly situated individuals all shared similar job titles, training, job descriptions and job tasks, during the statutory period.

17. Plaintiff was an employee of Defendant.

18. Plaintiff worked as a cook at Defendant's cruise line known as "Hornblower

Cruises” from on or around July 15, 2021 to, through and including, June 15, 2022.

19. From approximately July 15, 2021 to, through and including, June 15, 2022, Plaintiff worked two (2) to seven (7) days per week: either 7:00 a.m. to 5:00 p.m. (*i.e.*, approximately 10 hours each day), or 6:00 p.m. to 1:00 a.m. (*i.e.*, approximately 7 hours each day), for a total for a total period of approximately 45 hours during each of the weeks, respectively.

20. In the last week of Plaintiff’s employment, Plaintiff worked approximately 45 hours.

21. However, Defendants only paid Plaintiff for 14 hours of work, in his last week of employment.

22. At all times relevant herein, Defendants had a policy and practice commonly known as “time shaving”. Specifically, Defendants would force Plaintiff, and other similarly situated employees, to clock out for a 30- or 45-minute break, despite the fact that they would not actually receive an uninterrupted 30- or 45- minute break.

23. As a result, Plaintiff, and other similarly situated employees, were forced to work off the clock, without pay, during this period.

24. No notification, either in the form of posted notices, or other means, was ever given to Plaintiff regarding wages are required under the FLSA or NYLL.

25. Defendant did not provide Plaintiff a statement of wages, as required by NYLL 195(3).

26. Defendant did not give any notice to Plaintiff, in English (Plaintiff’s primary language), of his rate of pay, employer’s regular pay day, and such other information as required by NYLL § 195(1).

27. At all relevant times, Defendant did not pay Plaintiff at the rate of one and one-

half times Plaintiff's hourly wage rate for hours worked in excess of forty per workweek.

### **FLSA COLLECTIVE ACTION ALLEGATIONS**

28. Plaintiff brings the First, Second, and Seventh Claims for Relief as a collective action pursuant to FLSA §16(b), 29 U.S.C. §216(b), on behalf of all non-exempt persons (including but not limited to cooks and general workers) employed by Defendant on or after the date that is three years before filing of the Complaint in this case, as defined herein ("FLSA Collective Plaintiffs").

29. At all relevant times, Plaintiff and FLSA Collective Plaintiffs are and have been similarly situated, have had substantially similar job requirements and pay provisions, and are and have been subject to Defendant's decision, policy, plan and common policies, programs, practices, procedures, protocols, routines and rules willfully failing and refusing to pay them at the legally required overtime wage for all hours worked in excess of forty hours per work week. These claims of the Plaintiff are essentially the same as those of the FLSA Collective Plaintiffs.

30. The First, Second, and Seventh Claims for Relief are properly brought under and maintained as an opt-in collective action pursuant to under FLSA §16(b), 29 U.S.C. §216(b). The FLSA Collective Plaintiffs are readily ascertainable. For purpose of notice and others related to this action, their names and addresses are readily available from the Defendant. Notice can be provided to the FLSA Collective Plaintiffs via first class mail to the last address known to Defendant.

31. Plaintiff reserves the right to re-define the FLSA Collective Plaintiffs prior to notice or collective certification, and thereafter, as necessary.

### **FIRST CLAIM (FLSA – Unpaid Minimum Wages, 29 U.S.C. § 201 *et seq.*)**

32. Plaintiff repeats and incorporates all foregoing paragraphs as if fully set forth

herein.

33. Defendant willfully and intentionally failed to compensate the Plaintiff with the applicable minimum hourly wage in violation of the FLSA, 29 U.S. Code § 206.

34. Defendant has failed to make a good faith effort to comply with the FLSA with respect to compensation of Plaintiff.

35. Due to Defendant's violations of the FLSA, Plaintiff, on behalf of himself and FLSA Collective Plaintiffs, is entitled to recover from Defendant, their unpaid minimum wages and an equal amount in the form of liquidated damages, as well as reasonable attorneys' fees and costs of the action, pursuant to the FLSA, all in an amount to be determined at trial. 29 U.S.C. § 216(b).

**SECOND CLAIM**  
**(FLSA – Unpaid Overtime Wages, 29 U.S.C. §§ 201 *et seq.*)**

36. Plaintiff repeats and incorporates all foregoing paragraphs as if fully set forth herein.

37. At all relevant times to this action, Plaintiff is a covered, non-exempt employee within the meaning of the FLSA.

38. Defendant was required to pay Plaintiff one and one-half (1 1/2) times the regular rate at which Plaintiff was employed for all hours worked in excess of forty hours in a workweek pursuant to the overtime wage provisions set forth in the FLSA, 29 U.S.C. § 207, *et seq.*

39. Defendant failed to pay Plaintiff the overtime wages to which he is entitled under the FLSA.

40. Defendant willfully violated the FLSA by knowingly and intentionally failing to pay Plaintiff overtime wages.

41. Due to Defendant's willful violations of the FLSA, Plaintiff, on behalf of himself

and FLSA Collective Plaintiffs, is entitled to recover his unpaid overtime wages, liquidated damages, reasonable attorney's fees and cost of the action and pre-judgment and post-judgment interest.

**THIRD CLAIM**  
**(NYLL – Unpaid Minimum Wages, N.Y. Stat. § 650 *et seq.*)**

42. Plaintiff repeats and incorporates all foregoing paragraphs as if fully set forth herein.

43. Defendant willfully and intentionally failed to compensate the Plaintiff with the applicable minimum hourly wage in violation of the NYLL §650 *et seq.*

44. Defendant has failed to make a good faith effort to comply with the NYLL with respect to compensation of Plaintiff.

45. Due to Defendant's violations of the NYLL, Plaintiff, on behalf of himself and FLSA Collective Plaintiffs, is entitled to recover from Defendant, jointly and severally, their unpaid minimum wages and an equal amount in the form of liquidated damages, as well as reasonable attorneys' fees and costs of the action, in an amount to be determined at trial, pursuant to the NYLL § 663.

**FOURTH CLAIM**  
**(NYLL – Unpaid Overtime Wages)**

46. Plaintiff repeats and incorporates all foregoing paragraphs as if fully set forth herein.

47. Plaintiff is covered, non-exempt employee within the meaning of the NYLL and supporting New York Department of Labor ("NYDOL") Regulations.

48. Under the NYLL and supporting NYDOL Regulations, Defendant is required to pay Plaintiff one and one half times the regular rate of pay, which shall not be less than the

minimum wage, for all hours they worked in excess of forty.

49. Defendant failed to pay Plaintiff the overtime wages to which he is entitled under the NYLL.

50. Defendant willfully violated the NYLL by knowingly and intentionally failing to pay Plaintiff overtime wages.

51. Due to Defendant's willful violations of the NYLL, Plaintiff is entitled to recover his unpaid overtime wages, liquidated damages, reasonable attorney's fees and cost of the action and pre-judgment and post-judgment interest.

**FIFTH CLAIM  
(NYLL WTPA– Failure to Provide Wage Notices)**

52. Plaintiff repeats and incorporates all foregoing paragraphs as if fully set forth herein.

53. The NYLL and the WTPA require employers to provide all employees with a written notice of wage rates at the time of hire.

54. In violation of NYLL §195 (1), Defendant failed to furnish to Plaintiff at the time of hiring, or whenever their rate(s) of pay changed, with a wage notice containing the rate or rates of pay and basis thereof, whether paid by the hour, shift, day, week, salary, piece, commission, or other, allowances, if any, claimed as part of the minimum wage, including tip, meal, or lodging allowances; the regular pay day designated by the employer in accordance with NYLL §191; the name of the employer; any "doing business as" names used by the employer; the physical address of the employer's main office or principal place of business, and a mailing address, if different; the telephone number of the employer, and anything otherwise required by law.

55. Due to Defendant's violations of NYLL §195 (1), Plaintiff is entitled to recover his liquidated damages, reasonable attorney's fees and cost and disbursement of the action,



pursuant to the NYLL §198 (1-b).

**SIXTH CLAIM**  
**(Violation of the Wage Statement Provisions of the NYLL)**

56. Plaintiff repeats and incorporates all foregoing paragraphs as if fully set forth herein.

57. With each payment of wages, Defendant failed to provide Plaintiff with a statement listing each of the following the dates of work covered by the payment of wages; name of employee; name of employer; address and phone number of employer; rate or rates of pay and basis thereof, whether paid by the hour, shift, day, week, salary, piece, commission, or other; gross wages; deductions; allowances, if any, claimed as part of the minimum wage; the number of regular hours worked; the number of overtime hours worked, as required by the NYLL § 195(3).

58. As a result of Defendant's violation of the WTPA, Plaintiff is entitled to damages of at least \$150 per week during which the violations occurred.

**SEVENTH CLAIM**  
**(FLSA – Unlawful Deductions)**

59. Plaintiff repeats and incorporates all foregoing paragraphs as if fully set forth herein.

60. At all relevant times to this action, Plaintiff is a covered, non-exempt employee within the meaning of the FLSA.

61. At all relevant times to this action, Defendant has knowingly taken unlawful kickbacks in violation of the FLSA and 29 CFR § 531.35.

62. Specifically, from July 15, 2021 to, through and including, June 15, 2022, Defendant unlawfully appropriated all of Plaintiff's wages without Plaintiff's written or verbal consent by automatically deducting 30- or 45-minute break meal breaks, despite the fact that

Plaintiff did not actually receive an uninterrupted meal break.

63. Due to Defendant's willful violations of the FLSA, Plaintiff, on behalf of himself and FLSA Collective Plaintiffs, is entitled to recover his unpaid compensation, liquidated damages, reasonable attorney's fees and cost of the action and pre-judgment and post-judgment interest.

**EIGHTH CLAIM  
(NYLL - Unlawful Deductions)**

64. Plaintiff repeats and incorporates all foregoing paragraphs as if fully set forth herein.

65. Defendant has made unlawful deductions and required kickbacks from the Plaintiff's wages.

66. Specifically, from July 15, 2021 to, through and including, June 15, 2022, Defendant unlawfully appropriated all of Plaintiff's wages without Plaintiff's written or verbal consent by automatically deducting 30- or 45-minute break meal breaks, despite the fact that Plaintiff did not actually receive an uninterrupted meal break.

67. The deductions made from Plaintiff's wages have not been authorized or required by law.

68. The deductions made from Plaintiff's wages have not been expressly authorized in writing by Plaintiff, and have not been for Plaintiff's benefit.

69. Through their knowing or intentional efforts to permit unauthorized deductions from Plaintiff's wages, Defendant has willfully violated the NYLL, Article 6 §§ 190 et seq. and the supporting regulations.

70. Due to Defendant's willful violations of the NYLL, Plaintiff is entitled to recover from Defendant the amounts of any unlawful deductions, liquidated damages as provided for by the

NYLL, reasonable attorneys' fees, costs, and prejudgment and post-judgment interest.

**PRAYER FOR RELIEF**

**WHEREFORE**, Plaintiff, on behalf of himself and FLSA Collective Plaintiffs, respectfully requests that this Court enter a judgment:

- a. authorizing Plaintiff at the earliest possible time to give notice of this collective action, or that the Court issue such notice, to all persons who are presently, or have up through the extent allowable under the statute of limitations and including the date of issuance of court-supervised notice, been employed by Defendant as nonexempt employees. Such notice shall inform them that the civil notice has been filed, of the nature of the action, of their right to join this lawsuit if they believe they were denied minimum wages and premium overtime wages;
- b. certification of this case as a collective action pursuant to the FLSA;
- c. issuance of notice pursuant to 29 U.S.C. § 216(b), to all similarly situated members of the FLSA opt-in class, apprising them of the pendency of this action, and permitting them to assert timely FLSA claims and state claims in this action by filing individual Consent to Sue forms pursuant to 29 U.S.C. § 216(b), and appointing Plaintiff and his counsel to represent the FLSA Collective Plaintiffs;
- d. declaring that Defendant violated the minimum wage provisions of the FLSA, the NYLL and the NYDOL regulations;
- e. declaring that Defendant violated the overtime wage provisions of the FLSA, the NYLL and the NYDOL regulations;
- f. declaring that Defendant violated the notice statement pay provisions of the NYLL and WTPA;
- g. awarding Plaintiff unpaid minimum wages;
- h. awarding Plaintiff unpaid overtime wages;
- i. awarding Plaintiff liquidated damages in an amount equal to the total amount of wages found to be due;
- j. awarding Plaintiff statutory damages as a result of Defendant's failure to furnish accurate wage notice pursuant to the NYLL;
- k. awarding Plaintiff pre- and post-judgment interest under the NYLL;

- l. awarding Plaintiff reasonable attorneys' fees and the costs and disbursements of this action; and
- m. Such other relief as this Court deems just and proper.

Dated: New York, New York  
June 27, 2022

Respectfully submitted,

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